# Natural Language Processing with Deep Learning CS224N/Ling284



Christopher Manning and Richard Socher

Lecture 10: Machine Translation and Models with Attention



### Lecture Plan: Going forwards and backwards

- 1. Translation, Machine Translation, Neural Machine Translation
- 2. Research highlight: Google's new NMT
- 3. Sequence models with attention
- 4. Sequence model decoders

#### Reminders/comments:

Midterm is over and graded (99%) ©

Assignment 3 is looming 🕾

Learn up on GPUs, Azure, Docker

Final project discussions – come meet with us!

### 1. Machine Translation

The classic test of language understanding!

Both language analysis & generation

Big MT needs ... for humanity ... and commerce Translation is a US\$40 billion a year industry

Huge in Europe, growing in Asia Large social/government/military as well as commercial needs



### The need for machine translation

### Huge commercial use

Google translates over 100 billion words a day

Facebook in 2016 rolled out new homegrown MT

"When we turned [MT] off for some people, they went nuts!"

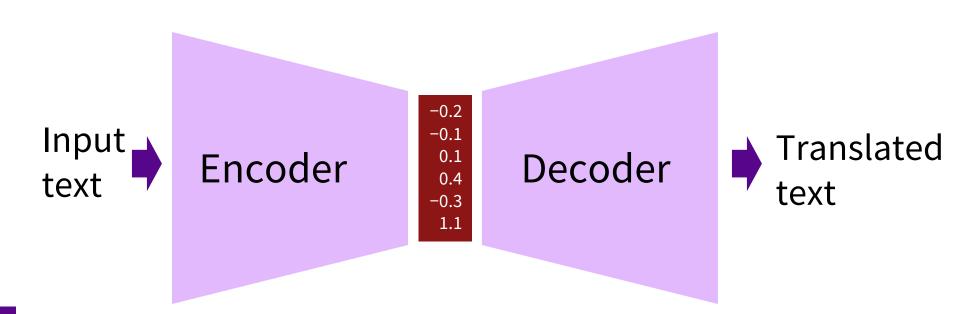
eBay uses MT to enable cross-border trade

### What is Neural MT (NMT)?

Neural Machine Translation is the approach of modeling the entire MT process via one big artificial neural network\*

\*But sometimes we compromise this goal a little

### Neural encoder-decoder architectures



### **Neural MT: The Bronze Age**

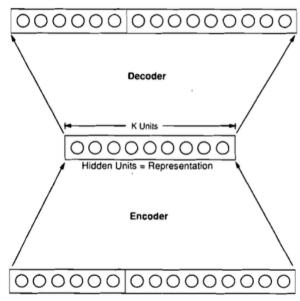
#### [Allen 1987 IEEE 1st ICNN]

3310 En-Es pairs constructed on 31 En, 40 Es words, max 10/11 word sentence; 33 used as test set

The grandfather offered the little girl a book → El abuelo le ofrecio un libro a la nina pequena

Binary encoding of words – 50 inputs, 66 outputs; 1 or 3 hidden 150-unit layers. Ave WER: 1.3 words





### **Neural MT: The Bronze Age**

[Chrisman 1992 Connection Science]

Dual-ported RAAM architecture [Pollack 1990 *Artificial Intelligence*] applied to corpus of 216 parallel pairs of simple En-Es sentences:



Hidden Units = Representation

Encoder

| Cooper | Cooper

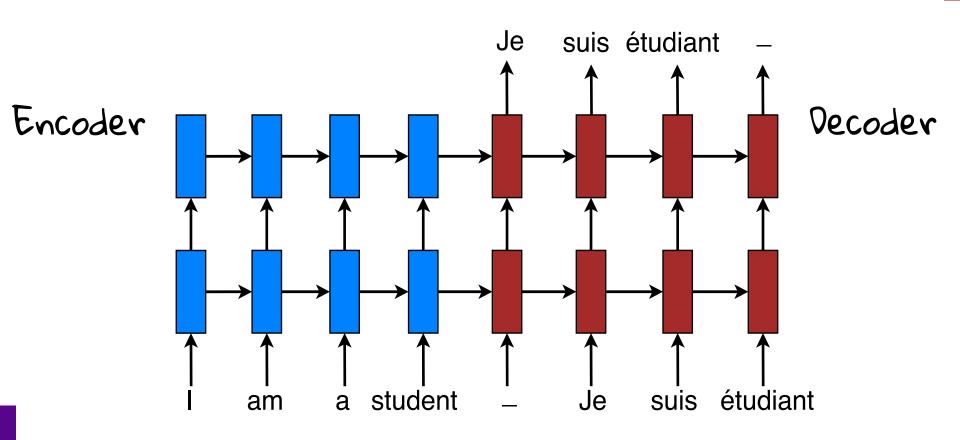
Outputs

You are not angry → Usted no esta furioso

Split 50/50 as train/test, 75% of sentences correctly translated!

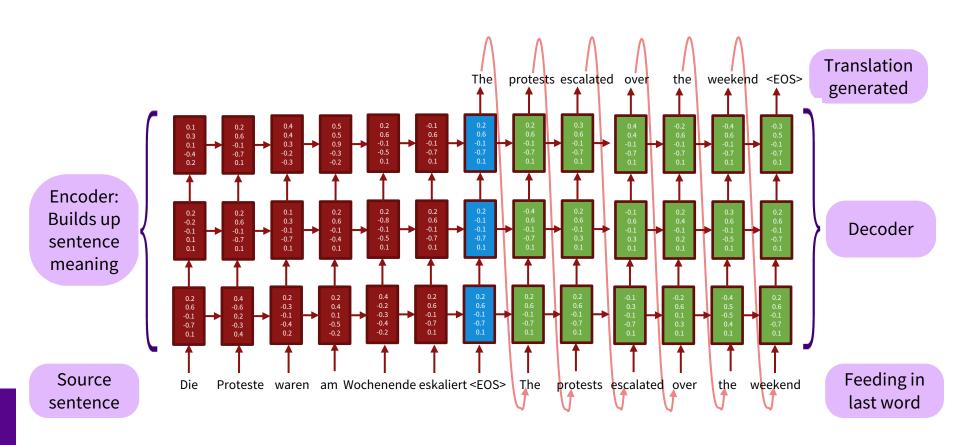
### **Modern Sequence Models for NMT**

[Sutskever et al. 2014, cf. Bahdanau et al. 2014, et seq.]



### **Modern Sequence Models for NMT**

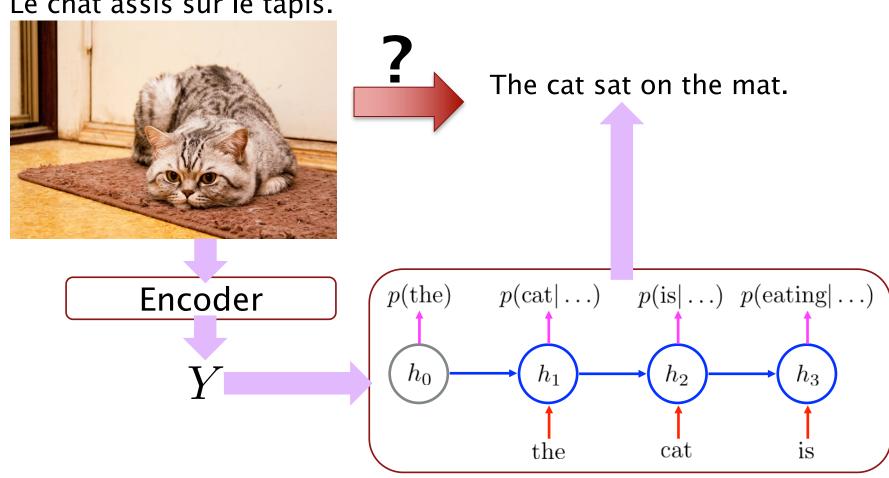
[Sutskever et al. 2014, cf. Bahdanau et al. 2014, et seq.]



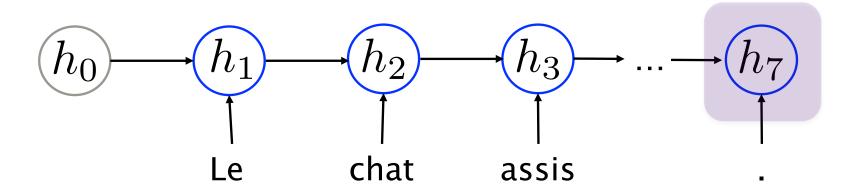
A deep recurrent neural network

### **Conditional Recurrent Language Model**

Le chat assis sur le tapis.

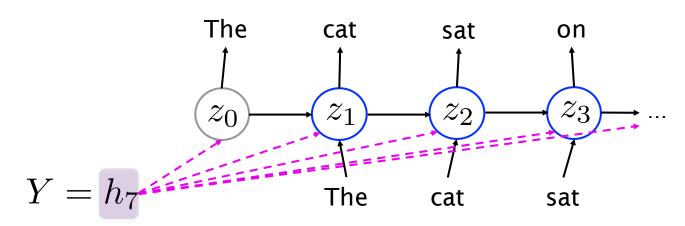


### **Recurrent Neural Network Encoder**



- Read a source sentence one symbol at a time.
- The last hidden state Y summarizes the entire source sentence.
- Any recurrent activation function can be used:
  - ullet Hyperbolic tangent anh
  - Gated recurrent unit [Cho et al., 2014]
  - Long short-term memory [Sutskever et al., 2014]
  - Convolutional network [Kalchbrenner & Blunsom, 2013]

### **Decoder: Recurrent Language Model**

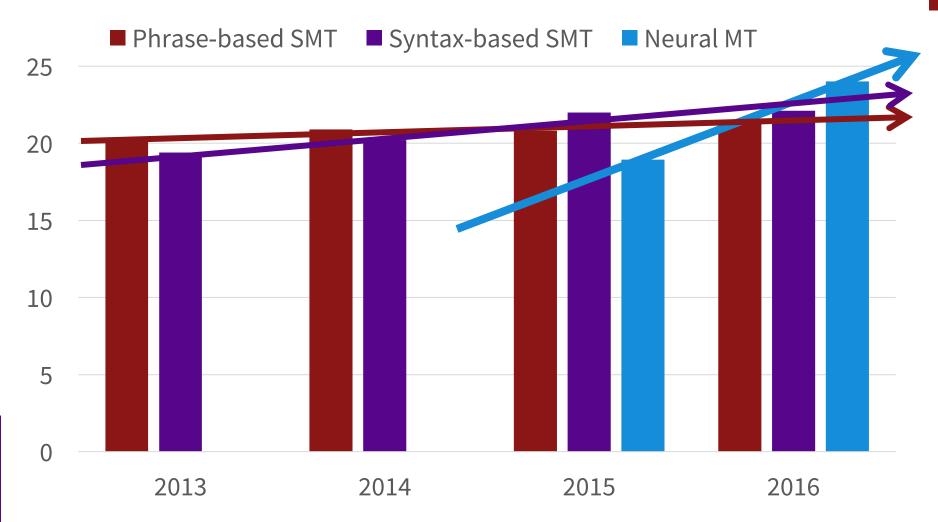


- Usual recurrent language model, except
  - 1. Transition  $z_t = f(z_{t-1}, x_t, Y)$
  - 2. Backpropagation  $\sum_{t} \partial z_{t}/\partial Y$
- Same learning strategy as usual: MLE with SGD

$$\mathcal{L}(\theta, D) = \frac{1}{N} \sum_{n=1}^{N} \sum_{t=1}^{T^n} \log p(x_t^n | x_1^n, \dots, x_{t-1}^n, \mathbf{Y})$$

### **Progress in Machine Translation**

[Edinburgh En-De WMT newstest2013 Cased BLEU; NMT 2015 from U. Montréal]



From [Sennrich 2016, http://www.meta-net.eu/events/meta-forum-2016/slides/09\_sennrich.pdf]

Neural MT went from a fringe research activity in 2014 to the widely-adopted leading way to do MT in 2016.

Amazing!

### Four big wins of Neural MT

### 1. End-to-end training

**All** parameters are simultaneously optimized to minimize a loss function on the network's output

- 2. Distributed representations share strength Better exploitation of word and phrase similarities
- 3. Better exploitation of context

  NMT can use a much bigger context both source and partial target text to translate more accurately
- **4. More fluent text generation**Deep learning text generation is much higher quality

### What wasn't on that list?

- 1. Black box component models for reordering, transliteration, etc.
- 2. Explicit use of syntactic or semantic structures
- 3. Explicit use of discourse structure, anaphora, etc.

### Statistical/Neural Machine Translation A marvelous use of big data but....

1519年600名西班牙人在墨西哥登陆,去征服几百万人口的阿兹特克帝国,初次交锋他们损兵三分之二。

In 1519, six hundred Spaniards landed in Mexico to conquer the Aztec Empire with a population of a few million. They lost two thirds of their soldiers in the first clash.

translate.google.com (2009): 1519 600 Spaniards landed in Mexico, millions of people to conquer the Aztec empire, the first two-thirds of soldiers against their loss. translate.google.com (2011): 1519 600 Spaniards landed in Mexico, millions of people to conquer the Aztec empire, the initial loss of soldiers, two thirds of their encounters. translate.google.com (2013): 1519 600 Spaniards landed in Mexico to conquer the Aztec empire, hundreds of millions of people, the initial confrontation loss of soldiers two-thirds. translate.google.com (2014/15/16): 1519 600 Spaniards landed in Mexico, millions of

people to conquer the Aztec empire, the first two-thirds of the loss of soldiers they clash.

translate.google.com (2017): In 1519, 600 Spaniards landed in Mexico, to conquer the millions of people of the Aztec empire, the first confrontation they killed two-thirds.

## Adoption!!! NMT aggressively rolled out by industry!

2016/02, Microsoft launches deep neural network MT running offline on Android/iOS. [Link to blog]

2016/08, Systran launches purely NMT model [Link to press release]

2016/09, Google launches NMT [Link to blog post]

With much more hype and gross overclaims of equaling human translation quality

**Great New York Times Magazine feature** 

Paper on the research: <a href="https://arxiv.org/abs/1611.04558">https://arxiv.org/abs/1611.04558</a>

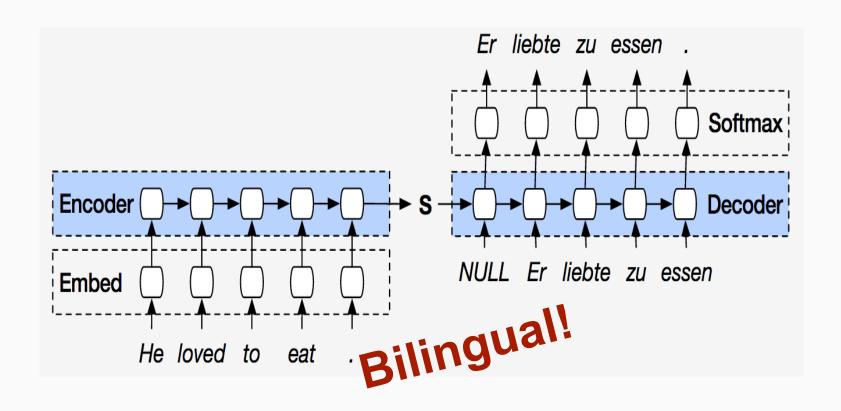
### Google's Multilingual Neural Machine Translation System:

**Enabling Zero-Shot Translation** 

Melvin Johnson, Mike Schuster, Quoc V. Le, Maxim Krikun, Yonghui Wu, Zhifeng Chen, Nikhil Thorat, Fernanda Viégas, Martin Wattenberg, Greg Corrado, Macduff Hughes, Jeffrey Dean

Presented by: Emma Peng

### State-of-the-art: Neural Machine Translation (NMT)



### Multilingual NMT? Previously...

Multiple Encoders → Multiple Decoders [1]

Er-Es Encoder

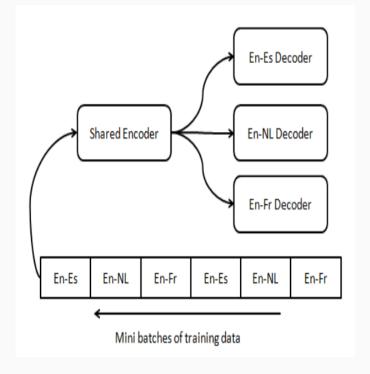
Er-Es Decoder

Er-NL Encoder

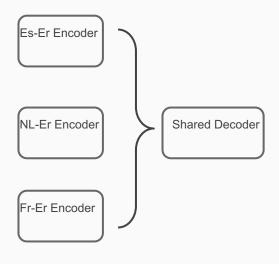
Er-NL Decoder

Er-Fr Encoder

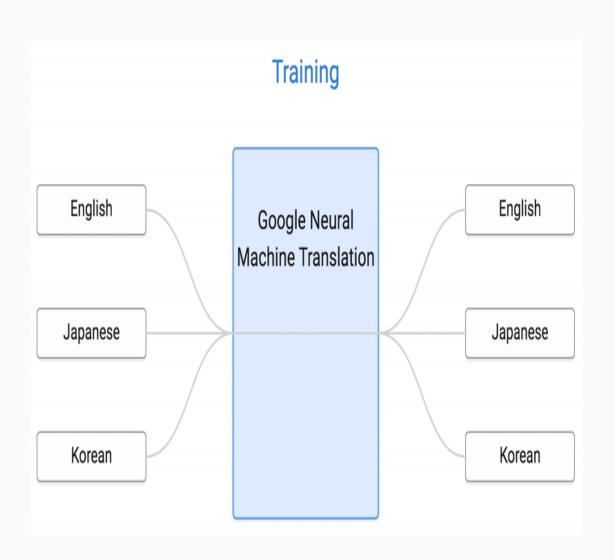
Shared Encoder → Multiple Decoder [2]



Multiple Encoders → Shared Decoder [3]

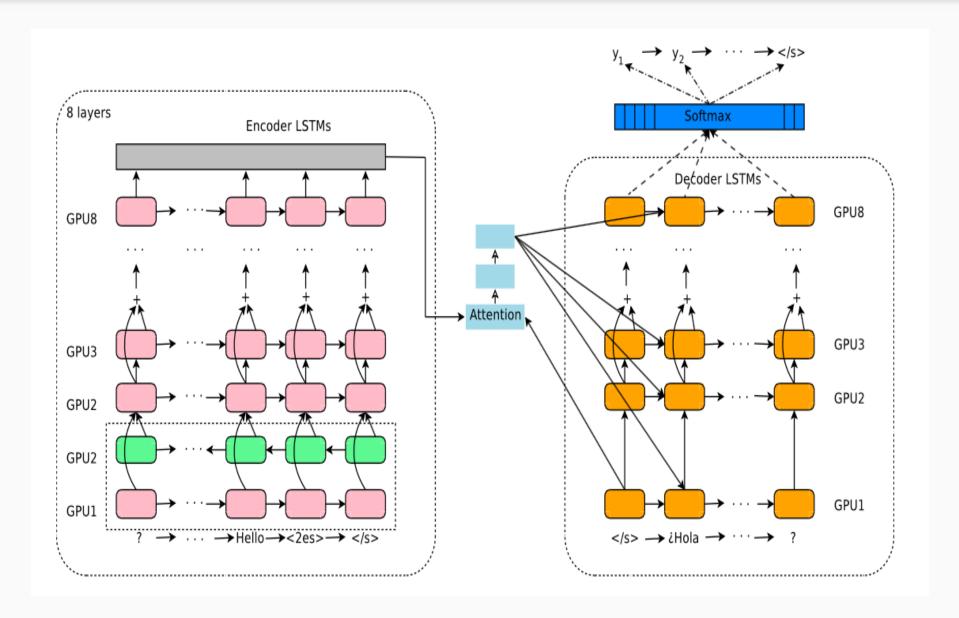


### Google's Multilingual NMT System Benefits



- Simplicity: single model
- Low-resource language improvements
- Zero-shot translation

### Google's Multilingual NMT System Architecture



### Google's Multilingual NMT System Architecture

Artificial token at the beginning of the input sentence to indicate the target language

Hello, how are you? -> ¿Hola como estás?

Add <2es> to indicate that Spanish is the target language

<2es> Hello, how are you? -> ¿Hola como estás?

### Google's Multilingual NMT System Experiments

- WMT'14:
  - Comparable performance: English → French
  - State-of-the-art: English → German, French → English
- WMT'15:
  - State-of-the-art: German → English

### Google's Multilingual NMT System Zero-Shot Translation

Table 5: Portuguese→Spanish BLEU scores using various models.

|                      | Model  | BLEU  |
|----------------------|--|-------|
| $\overline{\rm (a)}$ | PBMT bridged                                       | 28.99 |
| (b)                  | NMT bridged  | 30.91 |
| (c)                  | $NMT Pt \rightarrow Es$                            | 31.50 |
| (d)                  | Model 1 (Pt $\rightarrow$ En, En $\rightarrow$ Es) | 21.62 |
| (e)                  | Model 2 (En $\leftrightarrow$ {Es, Pt})            | 24.75 |
| _(f)                 | Model 2 + incremental training                     | 31.77 |

#### Train:

- Portuguese → English, English → Spanish (Model 1)
- Or, English ←→ {Portuguese, Spanish} (Model 2)

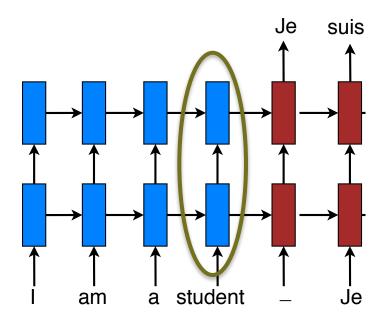
#### • Test:

○ Portuguese → Spanish

**Zero-Shot!** 

Thank you!

## 3. Introducing Attention: Vanilla seq2seq & long sentences

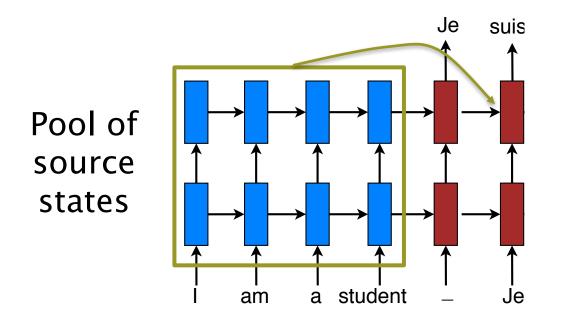


Problem. fixed-dimensional representation Y

### **Attention Mechanism**

Started in computer vision! [Larochelle & Hinton, 2010], [Denil, Bazzani, Larochelle,

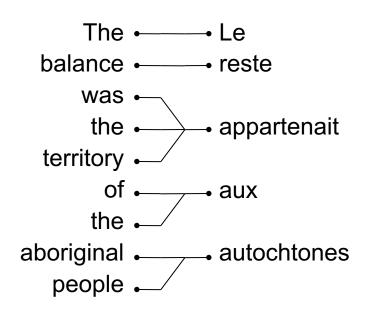
Freitas, 2012]

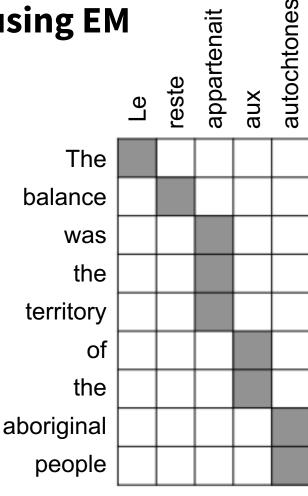


- Solution: random access memory
  - Retrieve as needed.

### **Word alignments**

Phrase-based SMT aligned words in a preprocessing-step, usually using EM



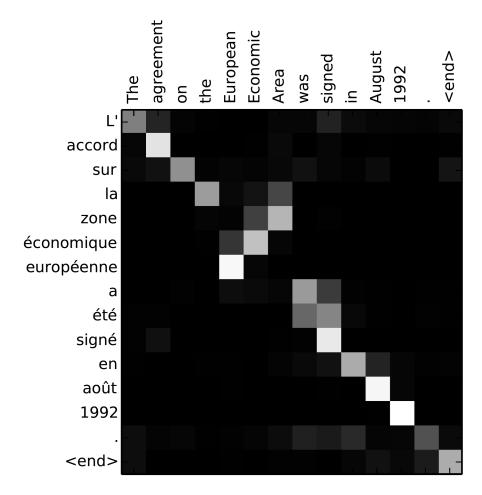


## Learning both translation & alignment



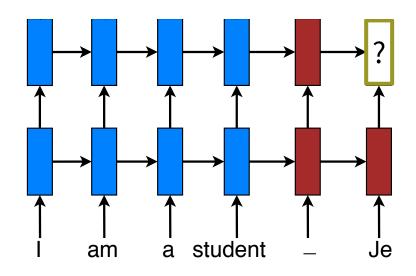






Dzmitry Bahdanau, KyungHuyn Cho, and Yoshua Bengio. **Neural Machine Translation by Jointly Learning to Translate and Align**. ICLR'15.

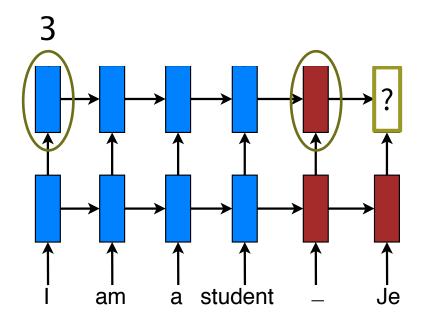
### **Attention Mechanism**



Simplified version of (Bahdanau et al., 2015)

### **Attention Mechanism - Scoring**

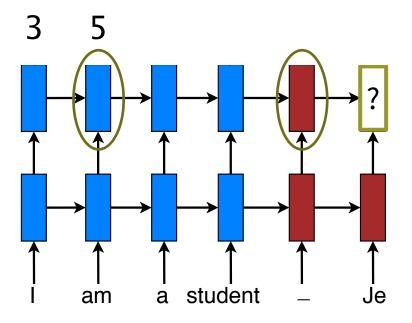
$$\operatorname{score}(\boldsymbol{h}_{t-1}, \bar{\boldsymbol{h}}_s)$$



Compare target and source hidden states.

### **Attention Mechanism - Scoring**

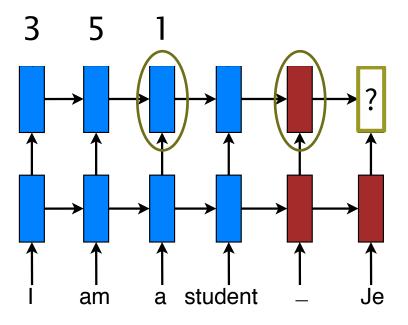
$$\operatorname{score}(\boldsymbol{h}_{t-1}, \bar{\boldsymbol{h}}_s)$$



Compare target and source hidden states.

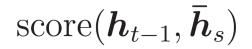
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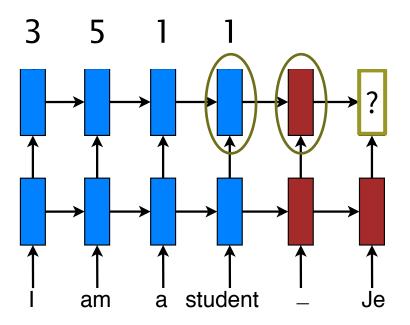
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Compare target and source hidden states.

### **Attention Mechanism - Scoring**



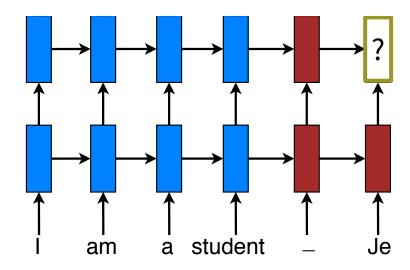


Compare target and source hidden states.

### **Attention Mechanism - Normalization**

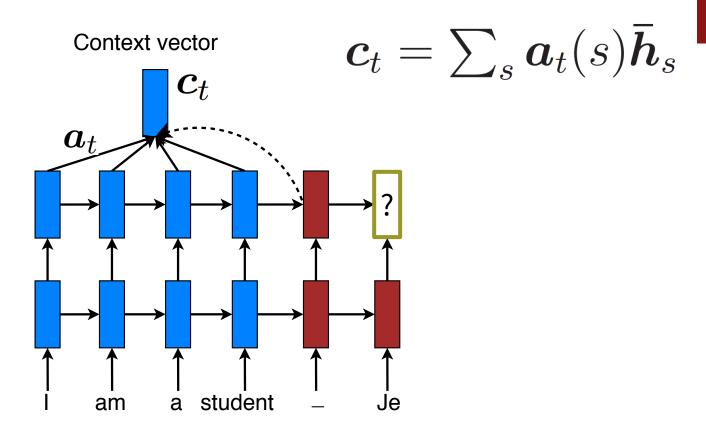
$$\boldsymbol{a}_t(s) = \frac{\mathrm{e}^{\mathrm{score}(s)}}{\sum_{s'} \mathrm{e}^{\mathrm{score}(s')}}$$





Convert into alignment weights.

#### **Attention Mechanism - Context**



Build context vector: weighted average.

### Attention Mechanism - Hidden State

Context vector  $a_t$ 

student

Compute the next hidden state.

am

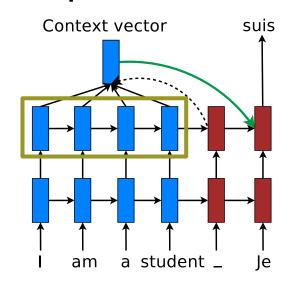
# **Attention Mechanisms+**







Simplified mechanism & more functions:



$$\operatorname{score}(\boldsymbol{h}_t, \bar{\boldsymbol{h}}_s) = \begin{cases} \boldsymbol{h}_t^{\top} \bar{\boldsymbol{h}}_s \\ \boldsymbol{h}_t^{\top} \boldsymbol{W_a} \bar{\boldsymbol{h}}_s \\ \boldsymbol{v}_a^{\top} \tanh \left( \boldsymbol{W_a} [\boldsymbol{h}_t; \bar{\boldsymbol{h}}_s] \right) \end{cases}$$

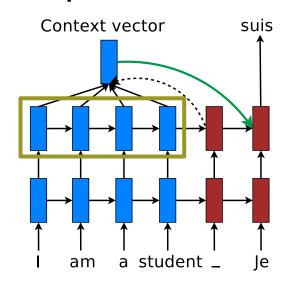
# **Attention Mechanisms+**







Simplified mechanism & more functions:



Bilinear form: well-adopted.

GitHub, Inc. [US] https://github.com/harvardnlp/seq2seq-attn

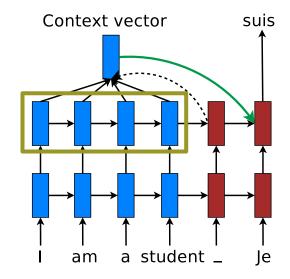
#### Sequence-to-Sequence Learning with Attentional Neural Networks

The attention model is from Effective Approaches to Attention-based Neural Machine Translation, Luong et al. EMNLP 2015. We use the *global-general-attention* model with the *input-feeding* approach from the paper. Input-feeding is optional and can be turned off.

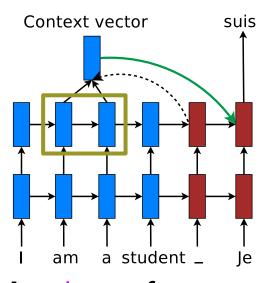
### Global vs. Local



Avoid focusing on everything at each time



**Global**: all source states.

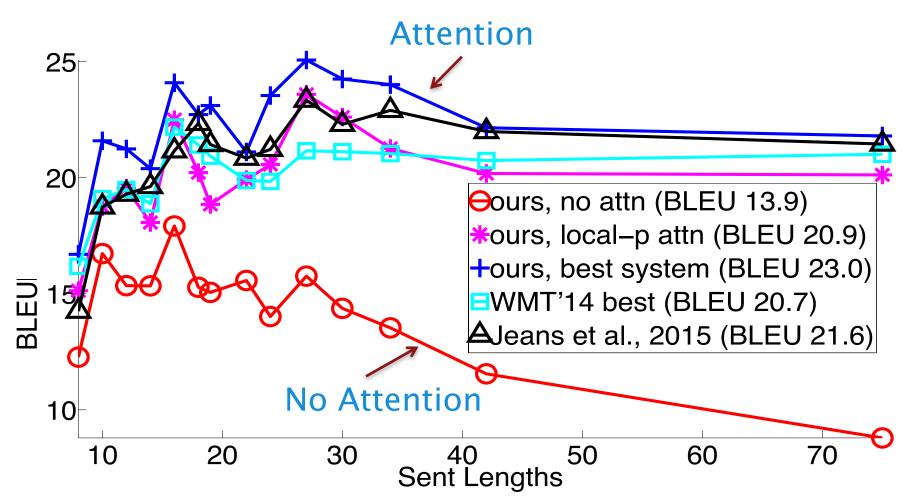


**Local**: subset of source states.

# Potential for long sequences!

Thang Luong, Hieu Pham, and Chris Manning. **Effective Approaches to**Attention-based Neural Machine Translation. EMNLP'15.

### **Better Translation of Long Sentences**



### Sample English-German translations

| source | Orlando Bloom and <i>Miranda Kerr</i> still love each other |  |  |  |  |
|--------|---|--|--|--|--|
|        | Orlando Bloom und Miranda Kerr lieben sich noch immer       |  |  |  |  |
| +attn  | Orlando Bloom und Miranda Kerr lieben einander noch immer . |  |  |  |  |
| base   | Orlando Bloom und Lucas Miranda lieben einander noch immer. |  |  |  |  |

Translates names correctly.

### Sample English-German translations

| source | We're pleased the FAA recognizes that an enjoyable passenger experience is <b>not incompatible</b> with safety and security, said Roger Dow, CEO of the U.S. Travel Association.         |
|--------|--|
| human  | Wir freuen uns, dass die FAA erkennt, dass ein angenehmes<br>Passagiererlebnis nicht <b>im Wider- spruch zur Sicherheit steht</b> , sagte Roger<br>Dow, CEO der U.S. Travel Association. |
| +attn  | Wir freuen uns , dass die FAA anerkennt , dass ein angenehmes ist nicht mit Sicherheit und Sicherheit unvereinbar ist , sagte Roger Dow , CEO der US - die .                             |
| base   | Wir freuen uns u'ber die <unk>, dass ein <unk> <unk> mit Sicherheit nicht vereinbar ist mit Sicherheit und Sicherheit, sagte Roger Cameron, CEO der US - <unk>.</unk></unk></unk></unk>  |

Translates a doubly-negated phrase correctly.

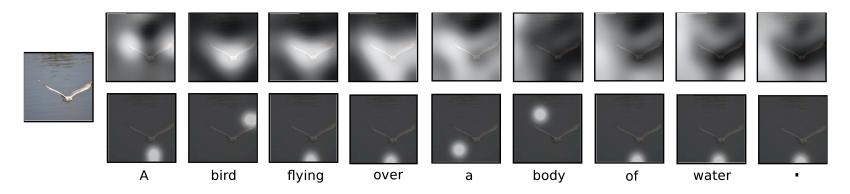
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Translates a doubly-negated phrase correctly.

### More Attention! The idea of coverage

Caption generation

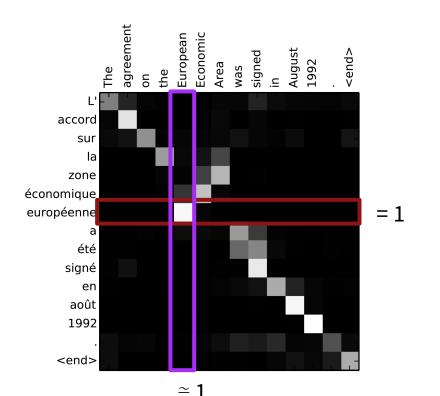


How to not miss an important image patch?

Xu, Ba, Kiros, Cho, Courville, Salakhutdinov, Zemel, Bengio. **Show, Attend and Tell: Neural Image Caption Generation with Visual Attention**. ICML'15

Doubly attention 
$$-\log(P(\mathbf{y}|\mathbf{x})) + \lambda \sum_{i}^{L} (1 - \sum_{t}^{C} \alpha_{ti})^2$$
Per image patch

Sum to 1 in both dimensions



Coverage set exists long time ago in SMT!

caption words

Xu, Ba, Kiros, Cho, Courville, Salakhutdinov, Zemel, Bengio. Show, Attend and Tell: Neural Image Caption Generation with Visual Attention. ICML'15

# Extending attention with linguistic ideas previously in alignment models

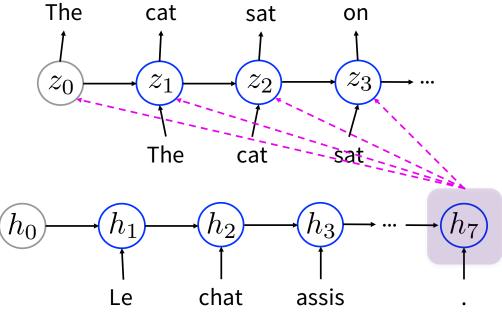
- [Tu, Lu, Liu, Liu, Li, ACL'16]: NMT model with coverage-based attention
- [Cohn, Hoang, Vymolova, Yao, Dyer, Haffari, NAACL'16]: More substantive models of attention using: position (IBM2) + Markov (HMM) + fertility (IBM3-5) + alignment symmetry (BerkeleyAligner)

$$-\log(P(\mathbf{y}|\mathbf{x})) + \lambda \sum_{i}^{L} (1 - \sum_{t}^{C} \alpha_{ti})^2$$
 Per source word Source word fertility

# 4. Sequence Model Decoders: Decoding (0) – Exhaustive Search

- Simple and exact decoding algorithm
- Score each and every possible translation
- Pick the best one

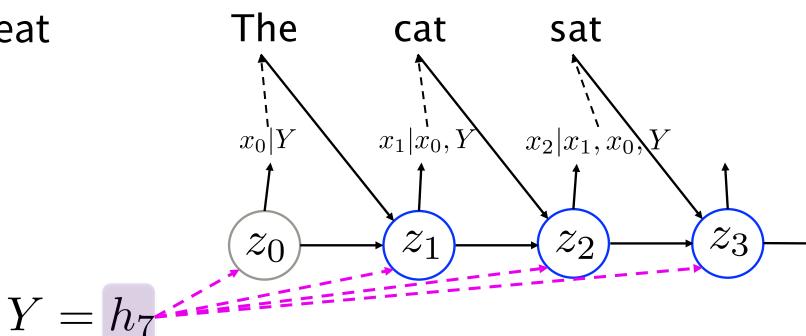
# DO NOT EVEN THINK of TRYING IT OUT!\*



<sup>\*</sup> Perhaps with quantum computer and quantum annealing?

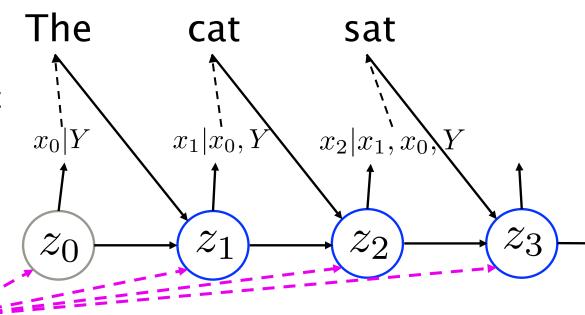
### **Decoding (1) – Ancestral Sampling**

- One symbol at a time from  $\tilde{x}_t \sim x_t | x_{t-1}, \dots, x_1, Y$
- Until  $\tilde{x}_t = \langle \cos \rangle$
- Repeat



### **Decoding (1) – Ancestral Sampling**

- Pros:
  - 1. Efficient and unbiased (asymptotically exact)
- Cons:
  - 1. High variance
  - 2. Pretty inefficient



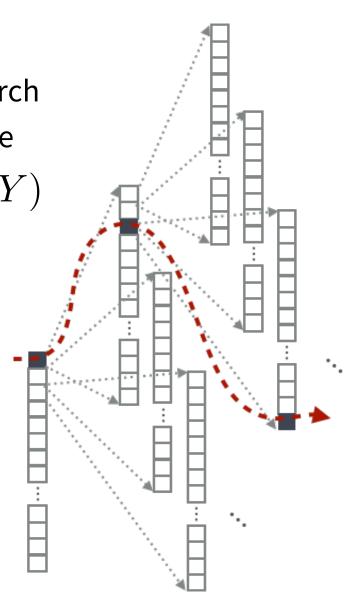
$$Y = h_7$$

## **Decoding (2) - Greedy Search**

- Efficient, but heavily suboptimal search
- Pick the most likely symbol each time

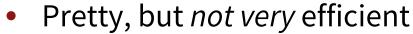
$$\tilde{x}_t = \arg\max_{x} \log p(x|x_{< t}, Y)$$

- Until  $\tilde{x}_t = \langle \cos \rangle$
- Pros:
  - 1. Super-efficient
    - Both computation and memory
- Cons:
  - 1. Heavily suboptimal



# Decoding (3)

### - Beam Search



Maintain K hypotheses at a time

$$\mathcal{H}_{t-1} = \left\{ (\tilde{x}_1^1, \tilde{x}_2^1, \dots, \tilde{x}_{t-1}^1), (\tilde{x}_1^2, \tilde{x}_2^2, \dots, \tilde{x}_{t-1}^2), \dots, (\tilde{x}_1^K, \tilde{x}_2^K, \dots, \tilde{x}_{t-1}^K) \right\}$$

Expand each hypothesis

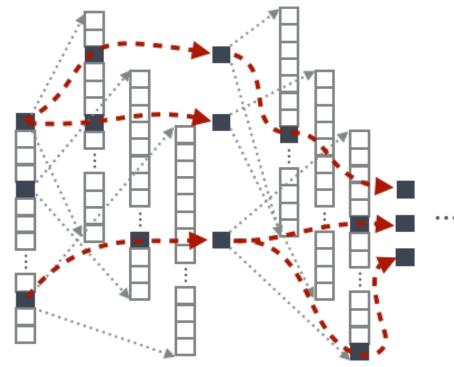
$$\mathcal{H}_{t}^{k} = \left\{ (\tilde{x}_{1}^{k}, \tilde{x}_{2}^{k}, \dots, \tilde{x}_{t-1}^{k}, v_{1}), (\tilde{x}_{1}^{k}, \tilde{x}_{2}^{k}, \dots, \tilde{x}_{t-1}^{k}, v_{2}), \dots, (\tilde{x}_{1}^{k}, \tilde{x}_{2}^{k}, \dots, \tilde{x}_{t-1}^{k}, v_{|V|}) \right\}$$

• Pick top-K hypotheses from the union  $\mathcal{H}_t = \cup_{k=1}^K \mathcal{B}_k$ , where

$$\mathcal{B}_k = \underset{\tilde{X} \in \mathcal{A}_k}{\operatorname{arg \, max} \log p(\tilde{X}|Y)}, \ \mathcal{A}_k = \mathcal{A}_{k-1} - \mathcal{B}_{k-1}, \ \text{and} \ \mathcal{A}_1 = \bigcup_{k'=1}^K \mathcal{H}_t^{k'}.$$

# Decoding (3)

### - Beam Search



- Asymptotically exact, as  $K o \infty$
- ullet But, not necessarily monotonic improvement w.r.t. K
- K should be selected to maximize the translation quality on a validation set.

# **Decoding**

### • En-Cz: 12m training sentence pairs

| Stratogy               | # Chains | Valid Set |       | Test Set |       |
|------------------------|----------|-----------|-------|----------|-------|
| Strategy               |          | NLL       | BLEU  | NLL      | BLEU  |
| Ancestral Sampling     | 50       | 22.98     | 15.64 | 26.25    | 16.76 |
| <b>Greedy Decoding</b> | -        | 27.88     | 15.50 | 26.49    | 16.66 |
| Beamsearch             | 5        | 20.18     | 17.03 | 22.81    | 18.56 |
| Beamsearch             | 10       | 19.92     | 17.13 | 22.44    | 18.59 |

### **Decoding**

- Greedy Search
  - Computationally efficient
  - Not great quality

- Beam Search
  - Computationally expensive
  - Not easy to parallelize
  - Much better quality

Beam search with a small beam is de facto standard in NMT